

**CCG BOARD
PART I
MEETING ON 23rd December 2015**

Title of Report:	Board Assurance Framework
Board Sponsor:	Mandy Ansell, (Acting) Interim Accountable Officer Jane Foster-Taylor, Chief Nurse Ade Olarinde, Chief Finance Officer
Prepared by :	Nicola Meeks, Head of Corporate Governance
Committees previous consulted:	Audit Committee, November 2015 Quality and Patient Safety Committee, October and November 2015 Finance and Performance Committee, November 2015
Executive Summary:	<p><u>Background & Introduction</u></p> <p>Members will be aware that the Board Assurance Framework (BAF) is the key tool for the CCG to track and manage the highest-rated risks to achieving its strategic objectives and statutory duties. The BAF contains all of the CCGs extreme rated risks and keeps the Boar apprised of CCG risks and how they are being managed.</p> <p>The attached Dashboard highlights 11 extreme rated risks for the attention of the Board. It also shows the overall CCG risk profile and how risks have changed from the previous quarter. The full BAF and CRR is also provided for information; it details the risk, controls and assurances associated with each risk as well as key actions being undertaken to strengthen the control and assurance processes.</p> <p><u>Review Process</u></p> <p>All risks on both registers were reviewed by the Head of Corporate Governance and the relevant Executive Lead, considering whether there are any further controls, assurances or actions that can be identified or material changes in the risk profile since the Quarter 1 review.</p> <p>Due to the timing of committees, the Q2 BAF/CRR as at 1st October 2015 is being reported to the Board. Risks are however reviewed on a regular basis on so this report includes updates from discussion at the Audit Committee and Quality and Patient Safety Committee meetings.</p> <p><u>Main Findings and Conclusions</u></p> <p>The Board will note that of the extreme rated risks, the top three relate to:</p> <ul style="list-style-type: none"> ▪ Primary Care (rated 25) ▪ Care Homes ArdenGem (rated 25) ▪ East of England Ambulance Service (rated 20)

Financial / Resource Implications:	None	
Fit with CCG strategy/objectives:	Well Led Organisation: Establish and operate appropriate integrated governance arrangements to enable not only compliance with legislation and regulation, but to facilitate all appropriate partnership arrangements to deliver the CCG vision of an integrated health and care system operating close to home.	
Risks identified / Outcome / Link to BAF:	N/A	BAF Ref: N/A
Actions Required:	On-going management of CCG Risks. Q3 update to be provided to the next public Board meeting.	When By: February 2016
Recommendation to the Committee:	<p>The CCG Board are asked to:</p> <ul style="list-style-type: none"> ▪ Discuss each of the CCG extreme rated risks to gain assurance that all relevant and appropriate steps are being taken to manage those risks. ▪ Seek assurances from the Executive Team in relation to the key risks. ▪ Note the full BAF/CRR. 	

* Delete as appropriate