

# NHS THURROCK ANNUAL SERIOUS INCIDENT AND NEVER EVENT REPORT

## APRIL 2013 – MARCH 2014.

This report provides a thematic overview of all Serious Incidents reported to and from NHS Thurrock CCG in April 2013 – March 2014.

There were no Never Events declared in NHS Thurrock CCG in April 2013 – March 2014

### **Background**

In 2013-2014 all NHS Thurrock CCG commissioned providers were required to report Serious Incidents in line with NHS Thurrock CCG Serious Incidents Requiring Investigation Policy. The Provider, in agreement with the CCG, applied a grade of one or two to each incident depending on severity (two being the more serious).

Serious Incidents are required to be investigated and reported within 45 working days level 1 / 60 working days level 2 with the process being overseen by the CCG. Serious Incidents that may indicate the need for a more in-depth, independent, investigation may require an allowance of up to 26 weeks, as negotiated between the provider and NHS Thurrock CCG

The national list of 25 Never events are serious, largely preventable patient safety incidents that should not occur if the available preventative measures have been implemented by healthcare providers. These are reportable as level 2 Serious Incidents and require high level scrutiny and monitoring.

**This report has been broken down into three key sections as follows:**

- 1. Review of non-pressure ulcer serious incidents reported during April 2013-March 2014**
- 2. Pressure ulcer serious incidents reported during April 2013-March 2014**

**1.0 Review of [Non – Pressure Ulcer] Serious Incidents reported during April 2013 - March 2014 for NELFT**

	NELFT		Total
	Level 1	Level 2	
Apr-13	1	0	<b>1</b>
May-13	2	0	<b>2</b>
Jun-13	1	0	<b>1</b>
Jul-13	7	0	<b>7</b>
Aug-13	5	0	<b>5</b>
Sep-13	3	0	<b>3</b>
Oct-13	3	0	<b>3</b>
Nov-13	0	0	<b>0</b>
Dec-13	0	0	<b>0</b>
Jan-14	0	0	<b>0</b>
Feb-14	0	0	<b>0</b>
Mar-14	0	0	<b>0</b>
<b>Total</b>	<b>22</b>	<b>0</b>	<b>22</b>

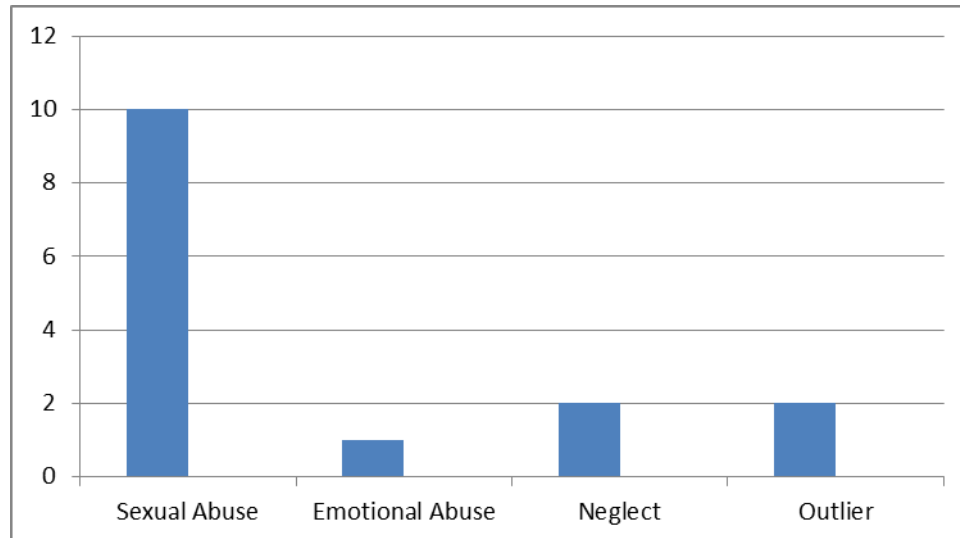
There were a total of **59** non pressure ulcer serious incidents reported in NELFT April 2013 – March 2014. Of these, **37** were subsequently de-escalated as found not to meet the SI criteria (the majority being safeguarding concerns) leaving a total of **22** valid SI's. As detailed in the table above of the **22** serious incidents **22** were level 1 and none were level 2 incidents.

Of the **22** serious incidents raised in NELFT they are noted to relate to the following NPSA categories

	NELFT
<b>Other (Safeguarding Children</b>	15
<b>Patient Accident Falls</b>	1
<b>Patient Abuse (by staff 3<sup>rd</sup> Party)</b>	2
<b>Unexpected Death</b>	3
<b>Medication</b>	1
<b>Totals:</b>	<b>22</b>

**Themes** - Of the 22 non-pressure ulcer serious incidents reported across NELFT the following themes have been recognised to be the areas of significant reporting.

**Other (safeguarding children)** The graph below shows the safeguarding children SIs reported to NHS Thurrock CCG for the period 1.4.13 to 31.3.14 from NELFT.



A total of 15 safeguarding children SIs were reported within the time period, with allegations of sexual abuse being 67% (10), emotional abuse 7% (1), neglect 13% (2) and outliers 13% (2) which are subject to Child Death Review.

The core themes from the closed safeguarding children SIs are in relation to improving communication across all services including social care, primary care and acute, ensure appropriate reviews / referrals are undertaken in line with patient's needs, improved documentation and training in relation to child sexual exploitation.

**Unexpected Deaths** there were 3 unexpected deaths reported within the time period and all were investigated.

The learning from SIs is monitored by the CCG through Quality Visits and formal Clinical Quality Review Group (CQRG) meetings with NELFT.

**Review of [Non – Pressure Ulcer] Serious Incidents reported during April 2013 - March 2014  
for NHS Thurrock CCG**

	NHS Thurrock		Total
	Level 1	Level 2	
Apr-13	0	0	0
May-13	0	0	0
Jun-13	1	0	1
Jul-13	0	0	0
Aug-13	0	0	0
Sep-13	1	0	1
Oct-13	0	0	0
Nov-13	0	0	0
Dec-13	0	0	0
Jan-14	0	0	0
Feb-14	0	0	0
Mar-14	1	0	1
<b>Total</b>	<b>3</b>		<b>3</b>

There were a total of **3** non pressure ulcer serious incidents reported in NHS Thurrock CCG April 2013 – March 2014. Of these, **0** were subsequently de-escalated as found not to meet the SI criteria. As detailed in the table above of the **3** serious incidents **3** were level 1 and none were level 2 incidents.

Of the **3** serious incidents raised in NHS Thurrock CCG they are noted to relate to the following NPSA categories

	TCCG
<b>Infection Control</b>	2
<b>Clinical Assessment</b>	1
<b>Totals:</b>	3

**Themes** - Of the **3** non-pressure ulcer serious incidents reported across NELFT the following themes have been recognised to be the areas of significant reporting.

A total of 2 infection control SIs were reported within the time period for both Sis, the patients were admitted to BTUH and after blood cultures were taken MRSA bacteraemia was identified. Following the Post Infection Reviews these were allocated to the community and therefore Thurrock CCG.

## 2.0 Review of Pressure Ulcers Serious Incidents reported during April 2013-March 2014 for NELFT

99 pressure ulcer serious incidents were reported for NELFT in 2013-14. Each of these ulcers has had or is in the process of having a full RCA completed to enable the understanding if the pressure ulcer was avoidable or unavoidable and to extract recommendations and future actions for the providers.

### Grade 3 Pressure ulcers

Sector	Provider	Apr 13	May 13	Jun 13	Jul 13	Aug 13	Sep 13	Oct 13	Nov 13	Dec 13	Jan 14	Feb 14	Mar 14	Total
Community	NELFT	6	8	5	7	7	10	7	4	8	10	9	7	88
														88

### Grade 4 Pressure ulcers

Sector	Provider	Apr 13	May 13	Jun 13	Jul 13	Aug 13	Sep 13	Oct 13	Nov 13	Dec 13	Jan 14	Feb 14	Mar 14	Total
Community	NELFT	4	2	1	0	0	0	1	0	1	2	0	0	11
														11

One of the key challenges to eliminate avoidable Grade 3 and 4 pressure ulcers is the ability to identify if pressure ulcers are avoidable, to enable tracking of reduction in a robust manner and for providers to take appropriate actions to prevent reoccurrence. All pressure ulcer SIs are reviewed by NELFT's Director of Nursing and are formally signed off by the CCG Executive Nurse.

The following table identifies the avoidability of the 99 grade 3 and 4 pressure ulcers declared

Sector	Provider	Avoidable	Unavoidable	Under Investigation	Total
Community	NELFT				
Grade 3		37	35	16	88
Grade 4		7	3	1	11
		44	38	17	99

### Key Learning

- Ensure complete holistic assessment
- Undertake wound photography
- Need for repositioning and accurate documentation